



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : David W. Duquette et al.

Appln. No.: 09/767,199

Filed : January 22, 2001

For : IMPROVED LASER ALIGN SENSOR  
WITH SEQUENCING LIGHT SOURCES

Docket No.: C34.12-0021


Group Art Unit:2877

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

26<sup>th</sup> DAY OF April, 2001.



PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of  
Application - Filing Date Granted dated February 27, 2001,  
Applicant encloses the following documents to complete the above-  
identified patent application filed January 22, 2001:

1. Our check in the amount of \$806.00 (to cover the filing fee of \$741.00 and surcharge fee of \$65.00).
2. Declaration executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Verified Statement Claiming Small Entity Status.
6. Power of Attorney by Assignee and Certificate by Assignee Under 37 CFR § 3.73(b).

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Christopher R. Christenson, Reg. No. 42,413  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

CRC:ajm



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WASHINGTON, D.C. 20531  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,199	01/22/2001	David W. Duquette	C34.12-0021

CONFIRMATION NO. 1182

FORMALITIES LETTER



\*OC00000005807717\*

Christopher R. Christenson  
WESTMAN CHAMPLIN & KELLY  
Suite 1600 - International Center  
900 South Second Avenue  
Minneapolis, MN 55402-3319

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/04/2001 11:00AM 00000023 09767199

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	306.00 OP
03 FC:202	80.00 OP
04 FC:205	65.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$772.
  - \$612 for 34 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1612.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

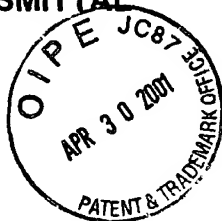
*TREH*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## FEE TRANSMITTAL



Complete If Known

Application No.	09/767,199
Filing Date	January 22, 2001
First Named Inventor	David W. Duquette et al.
Title	IMPROVED LASER ALIGN SENSOR WITH SEQUENCING LIGHT SOURCES
Group Art Unit	2877
Examiner Name	
Atty. Docket Number	C34.12-0021

Total Amount of Payment \$ 806

## METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee	
106	320	206	160	<input type="checkbox"/> Design Filing Fee	
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee	
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee	
Subtotal (1) \$ 355					

## 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	54	20	34	9	306
Indep.	5	3	2	40	80

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity		Small Entity		Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple Dependent Claims	
109	80	209	40	Reissue Independent Claims over Original Patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

Subtotal (2) \$ 386

## FEE CALCULATION (Continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	65
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	280	945	Extension for reply within fifth month	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,240	241	620	Petition to Revive - unintentional	
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	
143	470	243	250	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$65

Signature

(Christopher R. Christenson)

Reg. No. 42,413Date 4-26-01Deposit Account No. 23-1123